

## OCCASIONAL PAPER

# The default-mode, ego-functions and free-energy: a neurobiological account of Freudian ideas

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This article explores the notion that Freudian constructs may have neurobiological substrates. Specifically, we propose that Freud's descriptions of the primary and secondary processes are consistent with self-organized activity in hierarchical cortical systems and that his descriptions of the ego are consistent with the functions of the default-mode and its reciprocal exchanges with subordinate brain systems. This neurobiological account rests on a view of the brain as a hierarchical inference or Helmholtz machine. In this view, large-scale intrinsic networks occupy supraordinate levels of hierarchical brain systems that try to optimize their representation of the sensorium. This optimization has been formulated as minimizing a free-energy; a process that is formally similar to the treatment of energy in Freudian formulations. We substantiate this synthesis by showing that Freud's descriptions of the primary process are consistent with the phenomenology and neurophysiology of rapid eye movement sleep, the early and acute psychotic state, the aura of temporal lobe epilepsy and hallucinogenic drug states.

**Keywords:** freud; the ego; default-mode; prediction; free-energy

**Abbreviations:** BOLD = blood oxygen level dependent; DMN = default-mode network; fMRI = functional magnetic resonance imaging; REM = rapid eye movement

## Introduction

In this synthesis we explore the notion that Freudian constructs may have real neurobiological substrates and could be usefully revisited in the context of modern neuroscience. It is worth noting that Freud had a formal training in neuroanatomy and was influenced by people like Helmholtz, who laid many of the foundations for theoretical neurobiology. **Advances in empirical and theoretical neuroscience now allow us to recast some central Freudian ideas in a mechanistic and biologically informed fashion.** Specifically, we note that the psychoanalytic distinction between

the **primary and secondary processes** (as functions of the id and ego respectively) fit comfortably with modern notions of functional brain architecture, at both a computational and neurophysiological level. Although this may seem a rather abstract and ambitious synthesis, **there is in fact an enormous amount of empirical evidence from neuropsychology, neuroimaging and psychopharmacology to support it.**

In what follows we attempt to demonstrate **consistencies** between key Freudian ideas and recent perspectives on global brain function that have emerged in imaging and theoretical neuroscience. The intention is to demonstrate and develop the

**construct validity of the Freudian concepts.** This should allow Freudian concepts to be operationalized and measured empirically and could **enable a dialogue between psychoanalysts and neurobiologists.** This may have implications for psychiatry to the extent that mechanistic theories of psychopathology appeal to either neurobiological or psychoanalytical constructs. We start by summarizing the key elements of the three areas that we want to relate to each other; namely the central Freudian constructs, the Helmholtzian or Bayesian brain framework and empirical findings from neuroimaging on the global organization of brain activity.

## The primary and secondary process

Freud came to a realization that there are two fundamentally different **modes of cognition** (the primary and secondary process) through a study of ‘altered’ or ‘non-ordinary’ states of

consciousness (e.g. Q115, Q333 and Q462 in Supplementary material). He recognized in certain non-ordinary states (e.g. dreaming and psychosis) a *mode of cognition* that is characterized by a primitive, animistic style of thinking. Freud conjectured that the exchange of neuronal energy is relatively ‘free’ in this mode and he named this the ‘primary process’. Simultaneously, Freud recognized in non-ordinary states the loss of certain functions, which are normally present in waking cognition. He ascribed these functions to a central organization (the **ego**) which works to **minimize the mind’s free-energy.** Freud named this function the ‘secondary process’ and defined its aim as one of converting ‘free energy’ into ‘bound energy’ (for a more thorough discussion of these central concepts of Freudian theory see the supporting quotes in the online Supplementary material, cited in Table 1):

We seem to recognize that nervous or psychical energy occurs in two forms, one freely mobile and another, by comparison, bound; we speak of [activations] and [hyperactivations] of psychical material, and even venture to suppose a [hyperactivation]

**Table 1** List of quotations pertaining to the characteristics of the secondary process (and the ego) and primary process thinking (and the id)

The ego and the secondary process	Relevant quotations from Freud
1. Default energy store or reservoir, which possesses the property of being spontaneously or tonically active.	Q5, Q15, Q109, Q154, Q162, Q209, Q273, Q298, Q300, Q301, Q314, Q320, Q321, Q416, Q438, Q454
2. Receives and ‘contains’ or ‘represses’ endogenous excitation.	Q1, Q15, Q46, Q80, Q132, Q152, Q154, Q183, Q205, Q209, Q212, Q219, Q283, Q283, Q287, Q328, Q358, Q363, Q391, Q392, Q427, Q427, Q429, Q437, Q448, Q475
3. Minimizes free-energy.	Q2, Q8, Q18, Q70, Q199, Q200, Q283, Q285, Q307, Q314, Q321, Q366, Q373, Q410, Q461, Q483
4. Integrates or binds the primary process and its representational system (the id) into a broader, more cohesive, composite organization (the ego).	Q15, Q29, Q45, Q46, Q154, Q209, Q218, Q231, Q233, Q234, Q237, Q300, Q302, Q308, Q314, Q315, Q334, Q339, Q351, Q358, Q360, Q383, Q384, Q385, Q391, Q397, Q402, Q413, Q429, Q447, Q461, Q483
5. Specific ontogenetic development.	Q47, Q113, Q174, Q273, Q300, Q301, Q358, Q414, Q440, Q459, Q486
6. Supports reality-testing and perceptual processing.	Q15, Q19, Q23, Q39, Q51, Q153, Q234, Q258, Q259, Q310, Q350, Q356, Q363, Q373, Q375, Q380, Q392, Q427, Q428, Q429, Q448, Q482, Q485
7. Supports conscious awareness, cognition and directed attention.	Q10, Q21, Q27, Q39, Q40, Q153, Q154, Q204, Q234, Q238, Q249, Q254, Q334, Q372, Q380, Q427
8. Possesses internally and externally-focused components, which are inversely related (anti-correlated).	Q6, Q39, Q162, Q173, Q204, Q243, Q273, Q289, Q300, Q301, Q320, Q329, Q363, Q438, Q448, Q454, Q484
9. Excessive-engagement of internally-focused component and impoverished engagement of externally-focused network during pathological withdrawal; e.g. in depression and schizophrenia.	Q144, Q147, Q158, Q161, Q168, Q169, Q170, Q172, Q244, Q252, Q253, Q263, Q265, Q266, Q267, Q277, Q288, Q292, Q293, Q297, Q301, Q329, Q330, Q368,
10. Failure of systems to minimize free-energy (suppress endogenous excitation) results in disturbed affect, cognition and perception; as seen in non-ordinary states such as dreaming and psychosis.	Q23, Q35, Q58, Q115, Q134, Q135, Q147, Q231, Q261, Q262, Q333, Q365, Q383, Q455, Q462, Q466, Q469, Q475, Q476, Q482, Q485
The id and primary process thinking	Relevant quotations from Freud
11. Characteristics of the system unconscious/the id and primary process thinking: i.e. a primitive, ‘magical’ or animistic style of thinking, characterized neurophysiologically by ‘free’ movement of energy. One can think of primary process thinking in evolutionary terms as a ‘protoconsciousness’.	Q58, Q63, Q90, Q92, Q97, Q115, Q135, Q151, Q160, Q171, Q198, Q201, Q203, Q209, Q211, Q216, Q217, Q218, Q228, Q229, Q230, Q231, Q233, Q237, Q241, Q242, Q247, Q249, Q254, Q257, Q261, Q270, Q279, Q280, Q282, Q299, Q305, Q311, Q315, Q335, Q359, Q388, Q389, Q396, Q397, Q423, Q424, Q425, Q426, Q437, Q440, Q442, Q443, Q446, Q453, Q461, Q465, Q467, Q468, Q470, Q471, Q472, Q474, Q477, Q479, Q480, Q482, Q490, Q491

The quotations can be found in Supplementary material.

brings about a kind of synthesis of different processes—a synthesis in the course of which free energy is transformed into bound energy... We hold firmly to the view that the distinction between the [primary] and the [secondary] state lies in dynamic relations of this kind, which would explain how it is that, whether spontaneously or with our assistance, the one can be changed into the other... We have found that processes in the unconscious or in the id obey different laws from those in the ego. We name these laws in their totality the primary process, in contrast to the secondary process which governs the course of events in the ego. (Q461, Freud, 1940)

## Free-energy and the Bayesian brain

In terms of theoretical and computational neuroscience, we will focus on Helmholtz's suggestion that the brain is an inference machine (Helmholtz, 1866; Dayan *et al.*, 1995); this idea is now a fundamental premise in neurobiology (Gregory, 1968). Key examples of this include the Bayesian brain (Knill and Pouget, 2004), predictive-coding (Rao and Ballard, 1998) and the free-energy principle (Friston, 2009). This framework assumes that the brain uses internal hierarchical models to predict its sensory input and suggests that neuronal activity (and synaptic connections) try to minimize the ensuing prediction-error or (Helmholtz) free-energy. This **free-energy is a measure of surprise** and is essentially the amount of prediction-error. It is an information theory quantity that, mathematically, plays the same role as free-energy in statistical thermodynamics. Free-energy is not an abstract concept; it can be quantified easily and is used routinely in modelling empirical data (Friston *et al.*, 2007) and in neuronal simulations of perception and action (Friston *et al.*, 2009).

The notion of a hierarchy is central here because it allows the brain to construct its own top-down prior expectations about sensory samples from the world. This resolves one of the key challenges facing the brain and also allows it to resolve ambiguities when inferring and representing the causes of exteroceptive and interoceptive sensations. Crucially, the hierarchical form of internal models (and associated neuroanatomy) (Felleman and Van Essen, 1991) entails a progression in the complexity of representations, as one proceeds up the hierarchy from thalamic nuclei and primary sensory cortex to association and paralimbic cortex (e.g. from sensations, through perceptions to concepts). This progression is reflected in the temporal extent of what is represented; with higher levels representing extended sequences of events that best account for the stream of sensory information represented in lower levels (see Kiebel *et al.*, 2008 for a full discussion and simulations).

The hierarchical architecture may also accommodate the distinction between the Freudian primary and secondary processes, where the secondary process provides top-down predictions to reduce free-energy associated with the primary process (*cf.* converting free energy into bound energy). Under this mapping between Freudian and Helmholtzian models, one can link the energy associated with the primary process and the free-energy

of Bayesian formulations. In both accounts, higher cortical areas are trying to organize activity in lower-levels through suppression of their free-energy.

## Intrinsic brain networks and the default mode

Analyses of spontaneous fluctuations in the blood oxygen level dependent (BOLD) signal of functional magnetic resonance imaging (fMRI) during unconstrained 'resting' states (typically lying quietly with eyes closed or fixating on a cross) have identified a number of large-scale intrinsic networks (Beckmann *et al.*, 2005; Damoiseaux *et al.*, 2006). Of particular interest here is the so called 'default-mode network' (DMN), a network of regions that show **high metabolic activity and blood flow at rest** but which deactivate during goal-directed cognition (Raichle, 2001). Recent work has confirmed that the major nodes of the DMN are functionally and structurally connected (van den Heuvel *et al.*, 2008; Greicius *et al.*, 2009) and that this connectivity develops through ontogeny (Fair *et al.*, 2008; Kelly *et al.*, 2009). Another feature of the DMN is the inverse relationship of its neuronal activity with that of another large-scale intrinsic network; the 'attention system' (Fox *et al.*, 2005; Fransson, 2005; Corbetta and Shulman, 2002). In this article, we pursue the idea that these intrinsic networks correspond to the high-levels of an inferential hierarchy, which function to suppress the free-energy of lower levels (i.e. suppress prediction errors with top-down predictions). We associate this optimization process with the secondary process. Furthermore, we associate failures of top-down control with non-ordinary states of consciousness, such as early and acute psychosis, the temporal-lobe aura, dreaming and hallucinogenic drug states. In what follows, we organize the evidence that speaks to the integration of neurobiological and psychoanalytic ideas and conclude with a defence of its value and potential utility.

This article comprises three sections: in the **first**, we review evidence that the development and functioning of the DMN is consistent with ego-functions and the secondary process. We focus specifically on the DMN's containment of endogenous excitation and its suppression of systems engaged by exogenous stimuli. In the **second**, we review evidence that a loss of top-down control over limbic activity in hierarchically lower systems is equivalent to a loss of the ego's control over the primary process. In the **final** section, we discuss the clinical relevance of these ideas.

## Large-scale intrinsic networks, the secondary process and ego

In this section, we introduce the idea that Freud's descriptions of the development and functioning of the ego resonate with the development and functioning of the DMN and its reciprocal exchanges with subordinate brain systems. Freud's first useful account of the ego was given in his posthumously published

*Project for a Scientific Psychology* (Freud, 1895). Inspired by the recent introduction of 'neurone theory' by Cajal and Waldeyer-Harz, Freud hypothesized three functionally-distinct classes of neurone: the ' $\psi$  neurones', which receive endogenous input and make up the ego; the 'neurones', which are sensitive to exogenous input; and the ' $\omega$  neurones', which signal qualitative information. Although this neuronal classification system was abandoned by Freud soon after its conception, the ideas that inspired it remained a source of influence throughout his work. Several of Freud's most important ideas were introduced and/or developed in the *Project*, including the notion that the ego is an organization that receives and contains/represses bottom-up endogenous excitation (Table 1, row 2; Q1, Q15, Q46 and Q429).

The secondary process, or 'secondary process cognition', is the mode of cognition of the ego; put simply, it is the mode of normal waking consciousness of adult humans (e.g. Q317). Freud described the secondary process as 'inhibited' and 'bound'; in contradistinction to the primary process which is 'free' and 'motile' (Freud, 1895, 1900). The concept of 'bound' energy was attributed by Freud to ideas first expressed by Breuer in their *Studies on Hysteria* (Breuer and Freud, 1895). Breuer conjectured the existence of a system of tonically active neurons, forming a 'reservoir of nervous tension' (e.g. Q45, Q154 and Q233). It is significant that the primary and secondary processes owe their inception to observations of non-ordinary states of consciousness (e.g. row 10, Table 1; Q23, Q35, Q115, Q333 and Q462). We shall see later that compelling evidence for the existence of two distinct modes of cognition can be found in studies of non-ordinary states. In what follows, we review the functional anatomy of the default-mode and related networks and then consider these networks from a theoretical perspective.

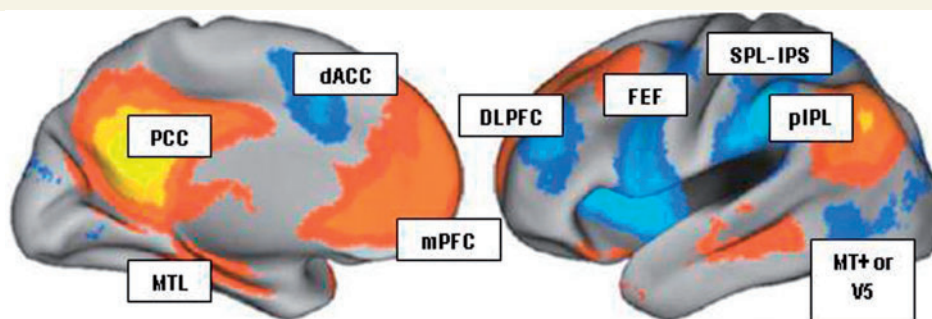
## Functional anatomy of the default-mode

The notion of the DMN originated in a paper by Marcus Raichle reviewing a pattern of blood flow, glucose metabolism and

oxygen consumption in the resting-state, which consistently decreases during goal-directed cognition (Raichle, 2001); in other words, a high-level distributed system whose activity is reciprocally related to the activity in cortical areas subserving task or stimulus-bound processing. Raichle proposed that this pattern reflects a default mode of brain function and a functionally relevant physiological baseline (Raichle, 2001). Subsequent work has associated activity in the network identified by Raichle and others (Greicius *et al.*, 2003; Beckmann *et al.*, 2005; Damoiseaux *et al.*, 2006; Fransson and Marrelec, 2008; Wu *et al.*, 2009) with phenomena such as self-referential processing, autobiographical recollection, mind-wandering and theory-of-mind (Gusnard *et al.*, 2001; Vincent *et al.*, 2006; Mason *et al.*, 2007; Buckner *et al.*, 2008; see also Q332).

Regions specifically implicated in the DMN include the medial prefrontal cortex, the posterior cingulate cortex, the inferior parietal lobule, the lateral and inferior temporal cortex and the medial temporal lobes (Buckner *et al.*, 2008; Fransson and Marrelec, 2008). Analyses of resting-state functional connectivity and diffusion tensor imaging have showed that the major nodes of the DMN are strongly interconnected (Greicius *et al.*, 2003, 2009; Van den Heuvel *et al.*, 2009) and that this connectivity matures through development (Fair *et al.*, 2008; Kelly *et al.*, 2009). Functional connectivity in the DMN is relatively weak in the elderly (Andrews-Hanna *et al.*, 2007; Damoiseaux *et al.*, 2008) and in patients with attention deficit disorder (Castellanos *et al.*, 2008) and impulse control disorders (Church *et al.*, 2009). Interestingly, medial prefrontal cortex-posterior cingulate cortex connectivity is entirely absent in infants (Fransson *et al.*, 2007). These findings imply that the DMN develops through ontogeny, in a manner that parallels the emergence of ego-functions (Table 1, row 5).

Model and data-driven analyses of resting-state functional connectivity, diffusion tensor imaging analyses of structural connectivity and anatomical work in primates suggest that the medial temporal lobes are connected to the medial prefrontal cortex and posterior cingulate cortex nodes of the DMN (Catani *et al.*, 2003; Vincent *et al.*, 2006; Buckner *et al.*, 2008; Fransson and Marrelec, 2008; Kahn *et al.*, 2008; Saleem *et al.*, 2008; van den



**Figure 1** The DMN (yellow/orange) and attention system (blue): resting state functional connectivity of three seed regions: the dorsal medial prefrontal cortex, ventral medial prefrontal cortex and hippocampal formation (medial temporal lobes). Positive correlations (yellow–orange) with all seeds were evident in the posterior cingulate (PCC), posterior inferior parietal lobule (piPL) and medial prefrontal cortex (mPFC). Regions negatively correlated with these seeds constitute the attention system and include the superior parietal lobule (SPL), intraparietal sulcus (IPS), the motion-sensitive middle temporal area (MT+), the frontal eye fields (FEF) the dorsal anterior cingulate (dACC), the dorsolateral prefrontal cortex (DLPFC), the ventral premotor cortex and the frontal operculum. Image reproduced from Buckner *et al.* (2008), with permission.

Heuvel *et al.*, 2008; Robinson *et al.*, 2009). This is important because the medial temporal lobes contain key structures (e.g. the hippocampal formation, the amygdala, parahippocampal gyrus and entorhinal cortex) that play a role in mnemonic and hedonic or emotional processing. The evidence suggests that medial prefrontal cortex-medial temporal lobe functional and structural connectivity increases through ontogeny (Eluvathingal *et al.*, 2007; Kelly *et al.*, 2009) with a notable increase at puberty (Benes *et al.*, 1989). A recent study found reduced medial prefrontal cortex-amygdala functional connectivity in patients with schizophrenia and an inverse correlation between connectivity and aggression in these patients (Hoptman *et al.*, 2009). Preclinical work indicates that emotional extinction takes place via glutamatergic projections from the medial prefrontal cortex terminating on inhibitory interneurons in the medial temporal lobes (Rosenkranz and Grace, 2002; Rosenkranz *et al.*, 2003) and a recent analysis of effective connectivity implied that activation of the rostral anterior cingulate drives inhibition of the amygdala in response to fearful faces (Stein *et al.*, 2007).

There is a huge amount of clinical and preclinical evidence supporting the limbic-suppressive function of the medial prefrontal cortex (Hariri *et al.*, 2000; Milad and Quirk, 2002; Rosenkranz and Grace 2002; Phillips *et al.*, 2003; Phelps *et al.*, 2004; Etkin *et al.*, 2006; Milad *et al.*, 2006). Functional neuroimaging studies have correlated primitive thought and emotion with decreased activity in the medial prefrontal cortex and increased activity in the medial temporal lobes (Pietrini *et al.*, 2000; Dougherty *et al.*, 2004), while suppression of these behaviours correlated with medial prefrontal cortex activations (Pietrini *et al.*, 2000; Beauregard *et al.*, 2001; Dougherty *et al.*, 2004). The recollection of distressing memories and emotions in patients with post-traumatic stress disorder has also been found to correlate with medial prefrontal cortex deactivations and medial temporal lobe activations (Bremner *et al.*, 1999; Shin *et al.*, 2004, 2006; Hopper *et al.*, 2007) and the blockade of these memories also correlated with medial prefrontal cortex activations (Lanius *et al.*, 2002; Reinders *et al.*, 2003, 2006). Damage to the ventromedial prefrontal cortex has long been associated with impaired impulse control (Grafmen *et al.*, 1996; Anderson *et al.*, 1999; Davidson *et al.*, 2000; Kaplan-Solms and Solms, 2001; Solms and Turnbull, 2002). The medial prefrontal cortex sends dense projections to the ventral striatum (Ferry *et al.*, 2000) and mid-brain (Carr and Sesack, 2000). The ventral striatum displays functional connectivity with the midbrain, medial temporal lobes and higher-level nodes of the DMN (Postuma and Dagher, 2006; Di Martino *et al.*, 2008; Gutman *et al.*, 2009) and the midbrain and ventral striatum signal prediction-error and motivational-salience (Robbins and Everitt, 1996; Berridge and Robinson, 1998; Schultz, 2002; Kapur, 2005). In summary, the DMN comprises high-level cortical nodes such as the medial prefrontal cortex that exchange neuronal signals with subcortical systems and other association and polymodal cortex, especially the systems responsible for emotional learning and memory. Much of the evidence suggests that activation of the DMN suppresses activity in lower systems. We now consider these aspects of functional anatomy in the light of hierarchical inference and the secondary process.

## Theoretical formulations of the default mode

Freud argued that the ego modulates both endogenous and exogenous excitation. Empirically, this can be seen in early and acute psychosis, the aura of temporal lobe epilepsy and hallucinogenic states, where affective (e.g. fear), mnemonic (e.g. moments of *déjà vu* or vivid recollection), perceptual (e.g. hallucinations) and cognitive (e.g. confused or muddled thinking) processing is perturbed (Bleuler, 1911; Epstein and Ervin, 1956; Cohen, 1964; Vollenweider *et al.*, 1997) (Table 1, row 10; e.g. Q462). He further hypothesized that the ontogenetic/phylogenetic evolution of healthy, adult waking cognition depends on the formation of an equilibrium between the pressing forces of the primary process (entailed by the id) and the counter forces of the secondary process (entailed by the ego) (e.g. Q116). This description is remarkably consistent with contemporary models of cognition based on hierarchical Bayesian inference and Helmholtzian free-energy; where backward connections from higher cortical areas work to minimize the free-energy of lower areas (Mumford, 1992; Rao and Ballard, 1999; Friston, 2003; Kiebel *et al.*, 2009).

Anatomically speaking, forward connections originate in supragranular layers and terminate in layer four spiny stellate cells. They project from lower to higher-levels; e.g. from thalamic nuclei to primary sensory cortex or from secondary sensory cortex to tertiary sensory areas. Backward connections are more abundant and diffuse than forward connections and their effects are primarily modulatory. Backward connections originate in deep pyramidal cells (infragranular layers) of the cortex and target infra and supragranular layers of lower cortical areas. Based on Bayesian and Helmholtzian principles it has been proposed that forward connections convey prediction-errors that optimize representations in higher levels. These representations are then used to form predictions that are conveyed by backward connections to lower levels. These predictions suppress or cancel prediction-errors (free-energy) until they can be minimized no further (Friston, 2003, 2005). In this way, the brain optimizes its representation of the world by suppressing prediction-errors with reciprocal message passing between hierarchical levels to minimize free-energy. This suppression simply involves countering excitatory presynaptic inputs (from representational units to neurons encoding prediction-error) with top-down presynaptic inputs, mediated by inhibitory interneurons. When the representations at any level can be explained by top-down predictions from the level above, prediction-error is minimized and the representations are internally consistent over levels. The aim of this process is to optimize parsimonious explanations for what caused sensory input (Friston, 2003) and establish sensory predictions to guide action and behaviour (Friston *et al.*, 2009). Crucially, this empirically-informed scheme (Sandell and Schiller, 1982; Girard and Bullier, 1989; Hupé *et al.*, 1998; Kleinschmidt *et al.*, 1998; Murray *et al.*, 2002; Lachaux *et al.*, 2005; Chen *et al.*, 2008) recapitulates Freud's 19th century conception and in particular his principle of constancy:

[We] have taken the view that the principle which governs all mental processes is a special case of Fechner's "tendency



towards stability”, and have accordingly attributed to the mental apparatus the purpose of reducing to nothing, or at least of keeping as low as possible, the sums of excitation which flow in upon it. (Freud, 1924; Q366)

It is significant that Freud cited as his inspiration for these ideas, **Gustav Fechner**, the founder of psychophysics and a contemporary of Helmholtz (e.g. Q307, Q353, Q366, Q379): the process of minimizing ‘the sums of excitation’ is exactly the same as minimizing the sum of squared prediction-error or free-energy in Helmholtzian schemes. This rests on the assumption that the brain explicitly encodes prediction-error with neuronal activity (excitation) that is suppressed or explained by backward (top-down) afferents.

As mentioned above, Freud argued that the ego modulates and suppresses both exogenous and endogenous signals (Table 1, rows 2 and 6). In neurobiological terms, exogenous signals correspond to interoceptive and exteroceptive signals from thalamic and unimodal sensory areas that convey sensory signals (prediction-errors) to polymodal and medial temporal lobe structures. Endogenous signals could be equated with subsequent bottom-up prediction errors (excitation) arising in limbic and paralimbic systems, which are passed up to high-level polymodal cortical areas that comprise the nodes of the default-mode.

Clearly, the principles that attend hierarchical inference under Helmholtzian schemes are generic and may apply to all hierarchically deployed brain systems. However, we will focus on the DMN; specifically, on medial prefrontal suppression of limbic and paralimbic activity, and associate this with the suppression of endogenous activity by the ego. We now consider how the ego modulates excitation evoked by stimuli from the external world.

## Hierarchical brain systems

As discussed in the introduction, BOLD signal oscillations in the DMN are characterized by their inverse relation to those of another major intrinsic network, referred to as the attention system (Corbetta and Shulman, 2002; Fox *et al.*, 2005; Fransson, 2005). As well as showing a spontaneous inverse relationship with the DMN, the attention system is activated during externally-directed cognition and deactivated during internally-directed cognition, whereas the opposite is true of the DMN (Buckner *et al.*, 2008); implying a ‘give-and-take’ relationship (Raichle, 2009). Regions implicated in the attention system include the dorsolateral prefrontal cortex, the dorsal anterior cingulate cortex, the frontal eye fields, the extrastriate cortex (e.g. V5) the superior parietal lobule, the intraparietal sulcus and the ventral premotor cortex (Buckner *et al.*, 2008). These regions are active during target detection, attention to spatial detail and hand-eye coordination (Corbetta and Shulman, 2002; Shulman, 2003). Moreover, many of these nodes have been associated with top-down control of primary sensory input (Friston and Büchel, 2000; Lachaux *et al.*, 2005). High-level association cortices not only receive feedforward signals from sensory regions but also anticipate and reciprocate these inputs with backward connections

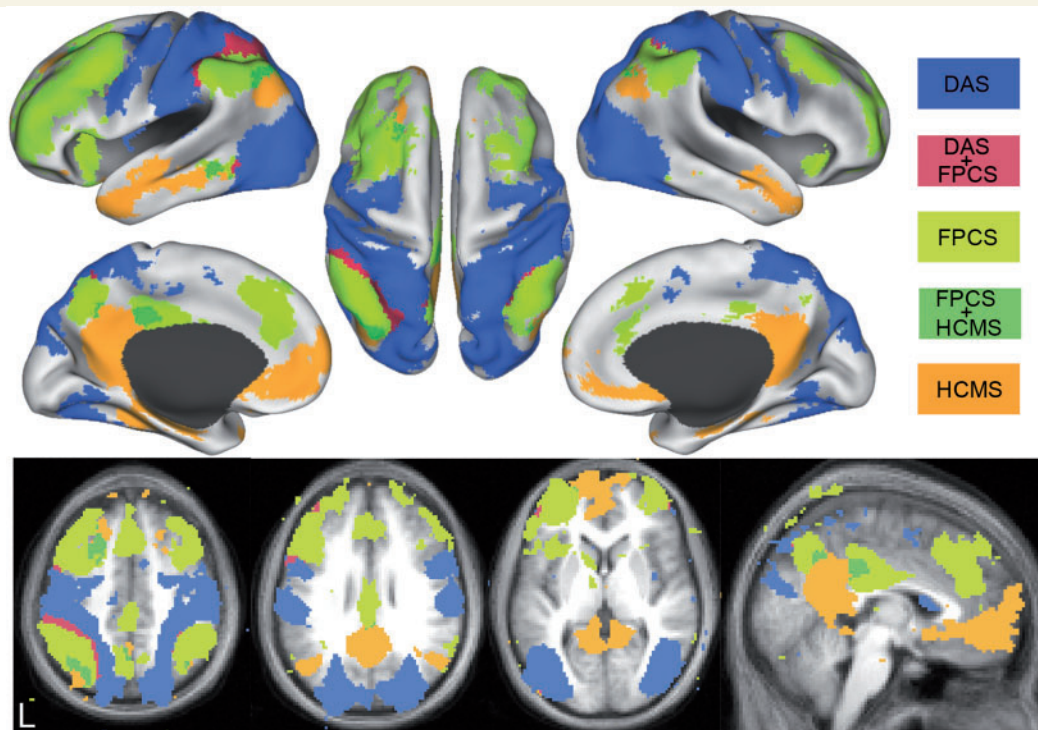
conferring context-specificity and higher-level constraints (i.e. predictions) (Rao and Ballard, 1999; Friston, 2003, 2005; Angelucci and Bressloff, 2006).

Recent work has suggested that what we have referred to as the ‘attention system’ is in fact not a unified system. Based on independent component analyses of resting state BOLD activity, Seeley *et al.* (2007) have shown that the system described by Fox *et al.* (2005) and Fransson (2005) can be differentiated into a ‘salience system’ which includes the dorsal anterior cingulate cortex, frontoinsula cortices, amygdala and ventral mid-brain; and a more dorsal and lateral cortical system (the ‘dorsal attention system’) which includes the dorsolateral prefrontal cortex, frontal eye fields, dorsal medial prefrontal cortex, intraparietal sulcus and superior parietal lobule. BOLD signal oscillations in both systems exhibit an inverse relationship with those in the posterior cingulate cortex of the DMN (Greicius *et al.*, 2003) but the systems do not appear to be well integrated with each other. This differentiation has also been suggested by others (He *et al.*, 2007; Dosenbach *et al.*, 2008; Sridharan *et al.*, 2008; Vincent *et al.*, 2008).

The **picture that emerges is of a hierarchy of brain systems with the DMN at the top and the salience and dorsal attention systems at intermediate levels, above thalamic and unimodal sensory cortex.** Under a Helmholtzian model, each system is trying to suppress the free-energy of its subordinates, through a process of optimizing predictions to reduce prediction-errors. This rests on recurrent message-passing between these systems that leads to self-organized activation patterns with a characteristic reciprocity or ‘give-and-take’ among levels. In this view, activation of the attention system may facilitate the suppression of exogenous excitation (Q258). Similarly, the DMN furnishes top-down control of the attentional and salience systems by explaining and thereby suppressing their activity. We next address the physiological basis of message-passing or interactions among brain regions that mediate this self-organized suppression.

## Spontaneous BOLD oscillations and neuronal activity

Until recently, there had been some uncertainty about whether spontaneous BOLD-signal oscillations are generated by neuronal activity or non-neuronal physiological processes (Wise *et al.*, 2004; Birn *et al.*, 2006). Recent work has shown that spontaneous BOLD oscillations most probably have a neuronal origin (He *et al.*, 2008; Nir *et al.*, 2008; Shmuel and Leopold, 2008). Simultaneous fMRI and intracranial recordings in monkeys (Shmuel and Leopold, 2008) and humans (Nir *et al.*, 2007) have identified spontaneous neuronal fluctuations that correlate with spontaneous BOLD fluctuations (Shmuel and Leopold, 2008). The neuronal fluctuations, which are coherent across the hemispheres, were evident in multi-unit firing rates and local field potential gamma power. Stimulus evoked BOLD activations have also been shown to correlate positively with gamma power (Niessing *et al.*, 2005). Gamma has been associated with attention, feature-binding and expectancy



**Figure 2** Resting state functional connectivity in three cortical networks: (i) dorsal attention system (DAS, blue) using voxels in the middle temporal area and superior parietal lobule as regions of interest; (ii) the salience system (light green) using voxels in the anterior PFC and anterior inferior parietal lobule as regions of interest; and (iii) the default mode network (orange) using the hippocampal formation and posterior inferior parietal lobule as regions of interest. Overlap between the networks is shown in dark green (salience system and DMN) and red (dorsal attention systems and salience system). Image used with permission from Justin Vincent and Randy Buckner.

(Singer and Gray, 1995; Herrmann, 2000; Engel *et al.*, 2001). This suggests that BOLD fluctuations reflect cortical coherence associated with gamma and secondary process cognition, particularly since the gamma frequency, recorded in higher-level cortical areas, has recently been shown to suppress lower frequencies in lower-level cortical areas (Chen *et al.*, 2009). This leads us to predict that the fluctuations in gamma power evident in the large-scale intrinsic networks index the ongoing minimization of free-energy in subordinate levels of the hierarchy (Engel *et al.*, 2001; Raichle, 2007) and could provide an empirical measure of the secondary process.

Generally speaking, oscillatory processes are ubiquitous in the brain and serve to couple remote neuronal populations. High frequency gamma has often been implicated in perceptual synthesis and binding (e.g. Singer, 2009); while theta rhythms have been most studied in the hippocampal system, where they are associated with (spatial) memory and exploration (e.g. Lisman and Redish, 2009). Crucially, theta and gamma are themselves coupled (e.g. Sirota *et al.*, 2008), where slower theta oscillations may provide a temporal frame of reference for faster computations mediated at gamma frequencies. We will return to the oscillations and frequency-specific coupling in the brain in the next section.

So far we have discussed the importance of reciprocal or anti-correlated activity in the DMN and networks for goal-directed cognition. However, it should be noted that spontaneous fluctuations in the DMN continue during active cognition, just as

spontaneous fluctuations in the dorsal attention system continue during rest (Hampson *et al.*, 2002; Beckmann *et al.*, 2005; Damoiseaux *et al.*, 2006; Fox *et al.*, 2007). This tonic activity presumably primes structures to infer exogenous inputs (Fox *et al.*, 2007; Raichle, 2007) and supports a background level of predictive coding (Hampson *et al.*, 2002). Furthermore, spontaneous fluctuations in the BOLD signal, which can be as large in amplitude as evoked BOLD responses (Fox *et al.*, 2007), have been shown to reflect variations in behaviour (Boly *et al.*, 2007; Fox *et al.*, 2007). Much of the brain's vast energy budget is reserved for spontaneous neuronal activity (Fox and Raichle, 2007; Raichle, 2007). We speculate that spontaneous activity in the DMN reflects the constant containment of spontaneous endogenous activity—commensurate with Freud's concept of repression (Q209), while spontaneous activity in the dorsal attention system indexes the continual monitoring and suppression of exogenous stimuli. This conjecture appeals to the Helmholtzian view of the brain as an inference engine that continually generates predictions and hypotheses that, when freed from the present (Kiebel *et al.*, 2009), necessarily entails the past and future.

In addition to the functional importance of spontaneous neuronal activity in intrinsic networks, the give-and-take between the default system and task-positive systems appears to be vital for active cognition and conscious awareness (Pomarol-Clotet *et al.*, 2008; He and Raichle, 2009; Whitfield-Gabrieli *et al.*, 2009). Functional connectivity within the DMN has been shown to

increase through ontogeny (Fair *et al.*, 2008; Kelly *et al.*, 2009), decrease in ageing (Andrews-Hanna *et al.*, 2007; Damoiseaux *et al.*, 2008) and to be underdeveloped in patients with impaired impulse control (Church *et al.*, 2009). These findings imply that functional connectivity in the DMN (Hampson *et al.*, 2006) and the dorsal attention system (Seeley *et al.*, 2007) provides an index of cognitive aptitude but not necessarily *active cognition* (Larson-Prior *et al.*, 2009). Ineffective deactivation of the DMN has been associated with cognitive error in healthy subjects (Li *et al.*, 2007; Eichele *et al.*, 2008) and negative symptoms in schizophrenia (Pomarol-Clotet *et al.*, 2008; Whitfield-Gabrieli *et al.*, 2009) and depression (Grimm *et al.*, 2009; Sheline *et al.*, 2009). Functional connectivity in the DMN is not significantly altered in sleep, sedation or coma (Boly *et al.*, 2008; Larson-Prior *et al.*, 2009) but the give-and-take between the DMN and its anti-correlated networks is (He and Raichle, 2009) (see Q238).

## Summary and synthesis

In this section, the secondary process was considered in relation to large-scale intrinsic networks working to predict and suppress excitation (Helmholtz free-energy) in subordinate systems. The concept of the secondary process entailed by ego-functions was associated with the suppressive effect of the DMN on its subcortical nodes and anti-correlated networks. Functional connectivity between limbic (e.g. the hippocampus and amygdala) structures and major nodes of the DMN during rest (Buckner *et al.*, 2008; Di Martino *et al.*, 2008) supports the notion that the systems enacting ego-functions have evolved to receive and control endogenous excitation that underlies mnemonic and hedonic processing. In the next section, we focus on the primary process and specifically how it is manifest in non-ordinary states of consciousness.

## The phenomenology of primary process thinking

In this section we describe the phenomenology of non-ordinary states of consciousness that have been associated with primary process thinking. The primary process is not generally regarded as a serious topic of science but the phenomenology of certain non-ordinary states compel us to consider its importance. Psychoanalysis owes its origins to observations of non-ordinary states (Table 1, row 10; e.g. Q23, Q35, Q115, Q333; Q462). An early observation that has remained at the core of the Freudian model is that there exists in the mind an archaic *mode of cognition*, which under normal waking conditions is effectively suppressed (Q315). Freud saw this 'primary' mode as belonging to an ontogenetically and phylogenetically primitive system, which he referred to initially as 'the unconscious' (Breuer and Freud, 1895), later as 'the system unconscious', 'system Ucs' or just 'Ucs' (Freud, 1900, 1915*b*) and eventually as 'the it' (Freud, 1923) (note: Freud's original term for 'the id' was 'das es' and should really have been translated 'the it', just as his original term for 'the ego', 'das Ich', should have been translated 'the I'; for simplicity however, we will use the familiar terms 'id' and 'ego').

Thus, the term 'the id' was introduced relatively late by Freud (Freud, 1923) as a new name for 'the unconscious' in its systematic sense (i.e. 'the system unconscious' or 'system Ucs') (Freud, 1900, 1915*b*). Freud wrote relatively less about the id than the system unconscious but the two are essentially synonymous (see Q422, Q423, Q458 and Q461). Freud's decision to rename the system unconscious 'the id' was motivated by his acknowledgement that aspects of the ego are also unconscious (in the descriptive sense) and processes in the id can become conscious. The introduction of the id was useful in this respect as it resolved ambiguities relating to the descriptive meaning of 'unconscious'. Referred to as 'the id', the unconscious could be understood more explicitly as a *system* subserving a specific *mode* of cognition (e.g. Q461).

The characteristics of primary process thinking are clearest when contrasted against those of the secondary process: just as the characteristics of the primary process only become manifest in certain non-ordinary states, the characteristics of the secondary process only really become evident when they are lost. For example, Freud considered timelessness to be a major characteristic of the id and time perception to be a function of the ego (e.g. Q424). The notion of **timelessness** is difficult to comprehend from the vantage of normal waking consciousness but becomes clearer if we consider the phenomenology of states such as the temporal lobe aura: 'Time seems endless' (Epstein and Ervin, 1956); acute **psychosis**: 'Time slowed down, much more experience could be crowded into a brief time span' (Bowers, 1965) and the hallucinogenic drug state: '[Under the influence of drugs such as LSD, one has] the feeling that *so much* was "seen" that "hours" or "days" or "aeons" must have passed' (Masters and Houston, 2000). Recent work involving the serotonergic hallucinogen, psilocybin, has shown that hallucinogen-induced impairments in temporal perception are dose-dependent (Wackermann *et al.*, 2008). Furthermore, recent formulations of the free-energy principle suggest that there is a systematic increase in temporal coherence in higher-level structures (Kiebel *et al.*, 2009). Thus, impaired temporal perception is a property of primary process thinking that has the potential to be measured psychophysically; thus bringing previously intangible phenomena into the scientific realm. Four other qualities of primary process thinking that can be assessed empirically include the following.

- (i) Sensations of fear or dread, e.g. in the aura of temporal lobe epilepsy: 'I feel afraid, as if something awful might happen' (Williams, 1956); early psychosis: 'Suddenly Fear, agonizing, boundless, Fear, overcame me, not the usual uneasiness of unreality, but real fear, such as one knows at the approach of danger, of calamity' (Sechehaye, 1951); the hallucinogenic drug state: 'I found myself all at once on the brink of panic' (Huxley, 1954); and dreaming: 'Fear is the most frequently occurring dream emotion' (Bulkeley, 2009).
- (ii) Perceptual distortions/visual hallucinations, e.g. in the aura of temporal lobe epilepsy: 'The surroundings feel strange and unfamiliar' (Hansen and Brodtkorb, 2003); early psychosis: 'It wasn't really unreal; it was just strange, funny, different' (Cutting and Dunne, 1989); and the hallucinogenic

drug state: 'The room and furniture were distorted, strange and terrifying' (LSD, Cohen, 1964).

- (iii) *Déjà vu*, recollective or reliving phenomena, e.g. in the aura of the temporal lobe epilepsy: 'I went back to all that occurred in my childhood' (Hughlings-Jackson, 1879); early psychosis: 'My whole world seemed to cave in—I kept thinking about my birthplace and my past' (Cutting and Dunne, 1989); and the hallucinogenic drug state: 'I started to cry uncontrollably and nothing could have stopped it—it was like a dam giving way. At first I didn't know what I was weeping about, but soon became aware that I was reliving childhood experiences of which I had scarcely any conscious knowledge. Until today I had remembered only fragments, but now the entire sequence reeled off as from a microfilm that was securely stored within my head' (LSD, Cohen, 1964).
- (iv) Disturbance to the **sense-of-self**, e.g. in the aura of temporal lobe epilepsy: 'I felt that I disappeared' (Johanson *et al.*, 2008); early psychosis: 'When I look at somebody my own personality is in danger. I am undergoing a transformation and myself is beginning to disappear' (Chapman, 1966); and the hallucinogenic drug state: 'I felt the relaxing of the self boundaries' (LSD, Cohen, 1964).

Other characteristics of **primary process thinking** include a fear of losing control of one's mind, a general sense of the peculiarity of things, euphoria, grandiosity, paranoia and suspiciousness, thought-disturbances, bizarre thought-content and an increased interest in mystical, magical or animistic notions. All these phenomena could easily be assessed using subjective rating scales as a global measure of ego-disturbance or primary process thinking. However, an association between the primary process and dreaming, acute psychosis, temporal lobe aura and hallucinogenic states can be motivated at a purely phenomenological level. Crucially, all these states have been compared with each other previously; e.g. psychosis and dreaming (Freud, 1900; Jung, 1907; Bleuler, 1911); psychosis and the temporal lobe aura (Slater and Beard, 1963; Bear, 1979; Ferguson and Rayport, 2006); psychosis and the hallucinogenic drug state (Behringer, 1927; Bowers and Freedman, 1966; Gouzoulis *et al.*, 1994); dreaming and the temporal lobe aura (Rodin *et al.*, 1955; Penfield and Perot, 1963; Mahl *et al.*, 1964); dreaming and the hallucinogenic drug state (Grof, 1975; Fischman, 1983; Callaway, 1988); and the temporal lobe aura and the hallucinogenic drug state (Bercel *et al.*, 1956; Schwarz *et al.*, 1965; Balestrieri, 1967). It is also worth noting that dreaming (Freud, 1900), psychosis (Freud, 1900; Bleuler, 1911), the temporal lobe aura (Kubie, 1952; Robin *et al.*, 1955; Delgado *et al.*, 1956; Epstein and Ervin, 1956; Ostow, 1957; Mahl *et al.*, 1964; Horowitz *et al.*, 1968) and the hallucinogenic drug state (Busch and Johnson, 1950; Sandison *et al.*, 1954; Cattell, 1957; Martin, 1957; Eisner, 1959; Cohen, 1964; Abramson, 1967; Horowitz *et al.*, 1968; Grof, 1975) have all been described as states conducive to the emergence of primary process thinking. In the remainder of this section we will review evidence that these states, which clearly display a related phenomenology, also possess a related neurophysiology.

## Neurophysiology of the **primary process**

In this section, we show that brain states associated with primary process thinking have common neurophysiological substrates. Intracranial electroencephalography (EEG) recordings in medial temporal structures, the superior temporal gyrus and the visual association cortex, after high-frequency stimulation of the perirhinal cortex, reveals bursts of synchronous high-amplitude theta activity spreading from the medial temporal lobes to the visual association cortices during the hallucinatory revival of past experiences (Barbeau *et al.*, 2005). This activity is consistent with Freud's speculations about the processes underlying dreaming and related states (e.g. Q97 and Q98). Similar activity has been recorded in the medial temporal lobes of other epileptic patients during states of hallucinosis and recollection (Rodin *et al.*, 1955; Heath, 1961; Stevens *et al.*, 1969) and increased theta power has been recorded over the medial temporal lobes during recollection using magnetoencephalography (Guderian and Düzel, 2005).

In the 1950s and early 1960s, activity was recorded in cortical and subcortical structures in a large number of patients experiencing acute psychotic episodes (Heath, 1954; Lesse *et al.*, 1955; Sem-Jacobsen *et al.*, 1956; Heath and Mickle, 1960; Sherwood, 1962; Heath and Walker, 1985). Subcortical contacts revealed conspicuous activities, which were generally not seen in the cortex or at the scalp (Sem-Jacobsen *et al.*, 1956; Heath and Mickle, 1960). In actively psychotic patients, spiking and bursts of high-amplitude synchronous activity (of variable frequency but often theta) were recorded in the septum (which until the mid-1970s included the nucleus accumbens) (Heath, 1954; Stevens, 1999) amygdala and hippocampus (Sem-Jacobsen *et al.*, 1956; Heath and Mickle, 1960; Sherwood, 1962). This activity was specific to these regions, was most pronounced when the psychosis was most florid and was absent when the symptoms remitted (Heath and Mickle, 1960).

Intracranial recordings in subjects administered the hallucinogenic drugs LSD and mescaline revealed spiking and bursts of high-amplitude activity in the medial temporal lobes similar to that recorded in the acutely psychotic patients (Schwarz *et al.*, 1956; Sem-Jacobsen *et al.*, 1956; Monroe *et al.*, 1957; Heath and Mickle, 1960; Chapman *et al.*, 1962). LSD and related drugs were used extensively in the 1950s and 60s as adjuncts to psychoanalytic psychotherapy (Abramson, 1967; Grinspoon and Bakalar, 1979). Spontaneous recollections of a similar nature to those associated with the temporal lobe aura (e.g. Penfield and Perot, 1963; Barbeau *et al.*, 2005) have been reported after ingestion of LSD and psilocybin (e.g. Sandison *et al.*, 1954; Grof, 1975; Vollenweider *et al.*, 1997). High-amplitude bursts of low-frequency/theta activity have also been recorded in the human hippocampus in rapid eye movement (REM) sleep (Brazier, 1968; Freeman and Walter, 1970; Giaquinto, 1973; Moiseeva and Aleksanyan, 1976; Mann *et al.*, 1997; Yu *et al.*, 1997; Bódizs *et al.*, 2001; Cantero *et al.*, 2003) and LSD given to humans immediately prior to (Toyoda, 1964; Muzio *et al.*, 1966) or during sleep (Torda, 1968) has been shown to promote REM

sleep and dreaming. These studies provide converging evidence that a specific mode of cognition (primary process thinking), rests on brain states, which possess a characteristic neurophysiology.

There are also some interesting examples of medial temporal activities being influenced by psychiatric interview (Heath, 1954, 1964; Lesse *et al.*, 1955). Sporadic bursts of high-amplitude synchronous activity recorded intracranially in the medial temporal lobes (Heath, 1954, 1964; Lesse *et al.*, 1955) were detected as personal memories, with strong emotional content, were touched on. The activity desynchronized if the patient attended to his environment (Lesse *et al.*, 1955) or carried out a mathematical problem (Heath, 1954, 1964).

The abnormal limbic activity recorded in the temporal lobe aura, acute psychosis, the hallucinogenic drug state and REM sleep is often seen in the theta range (Sem-Jacobsen *et al.*, 1955; Heath *et al.*, 1955–56; Schwarz *et al.*, 1956; Sem-Jacobsen *et al.*, 1956; Monroe *et al.*, 1957; Heath and Mickle, 1960; Chapman *et al.*, 1962; Sherwood, 1962; Cantero *et al.*, 2003; Barbeau *et al.*, 2005), although bursts of high-amplitude fast activity were also seen (e.g. Lesse *et al.*, 1955; Heath *et al.*, 1955–56). Hippocampal theta in animals is reliably associated with locomotion, orienting and REM sleep (Kahana *et al.*, 2001) and also long-term potentiation (Hölscher *et al.*, 1997). Hippocampal theta depends on inputs from the septal nuclei, a major theta generator (Petsche *et al.*, 1962; Winson 1978) and another site from which the abnormal activity was recorded in non-ordinary states in humans (e.g. Heath, 1954; Sherwood, 1962). As well as providing conditions for encoding new experiences, hippocampal theta facilitates the retrieval of past experiences (Hasselmo *et al.*, 2002; Barbeau *et al.*, 2005). Scalp recordings of increased theta power associated with goal-directed cognition (Burgess and Gruzeliier, 2000; Krause *et al.*, 2000; Onton *et al.*, 2005) are unlikely to relate to the high-amplitude bursts seen in the septum and medial temporal lobes during the non-ordinary states of consciousness described above (Gevins *et al.*, 1997; Kahana *et al.*, 2001; Buzsaki 2002; Raghavachari *et al.*, 2006). The cortex is capable of generating its own theta (Silva *et al.*, 1991; Raghavachari *et al.*, 2006) and intracranial work in humans has provided more evidence for low-amplitude, high-frequency oscillations in the hippocampus during attentiveness than for theta (Heath, 1954, 1964; Lesse *et al.*, 1955; Halgren *et al.*, 1978; Arnolds *et al.*, 1980; Huh *et al.*, 1990; Meador *et al.*, 1991; Caplan *et al.*, 2001; Axmacher *et al.*, 2007). Moreover, in the non-ordinary states, activity recorded from the scalp and in the cortex is generally low-amplitude, high-frequency and desynchronous (Heath and Mickle, 1960; Chapman *et al.*, 1962; Rodin *et al.*, 1966; Cantero *et al.*, 2003); such activity is highly characteristic of REM sleep (Jouvet, 1965; Maquet *et al.*, 1996; Braun *et al.*, 1998; Cantero *et al.*, 2003; Wehrle *et al.*, 2007) and other cortical 'up' states (Steriade *et al.*, 2001) such as those induced by serotonergic hallucinogens (Lambe and Aghajanian, 2006).

Based on empirical findings (e.g. Cañive *et al.*, 1996; Jeanmonod *et al.*, 1996, 2003; Llinás *et al.*, 1998, 1999) it has been proposed that bursts of limbic theta, recorded in the cortex as increased gamma, can index the positive symptoms of various neurological and psychiatric disorders (Llinás *et al.*, 1999;

Jeanmonod *et al.*, 2003; Llinás and Steriade, 2006). Under normal conditions, cortical gamma readily suppresses low-frequency oscillations (Chen *et al.*, 2009). This function is analogous to the secondary process, but in pathological states and dreaming, limbic activity is more anarchic (e.g. Oertel *et al.*, 2007; Wehrle *et al.*, 2007) and the cortex must work harder to contain it (Llinás *et al.*, 1999; Jeanmonod *et al.*, 2003; Llinás and Steriade, 2006).

Recent intracranial EEG work in humans, using subdural electrodes recorded theta phase-modulation of high-frequency (80–150 Hz) gamma power (Canolty *et al.*, 2006). Theta modulation of gamma power was evident at rest but also during behavioural tasks. Theta-gamma coupling was highest at the trough of the theta phase. Moreover, electrodes showing the highest mean theta power also showed the strongest theta-gamma coupling. These findings imply that theta modulates coupling between theta and gamma and a number of researchers have suggested that theta may promote long-range coupling in cortical networks (e.g. von Stein and Sarnthein, 2000; Buzsaki, 2006).

## Summary and synthesis

Integrating these findings, we propose that high-amplitude low-frequency (e.g. theta) discharges in limbic and paralimbic regions **index the free-energy of the Helmholtzian scheme and mediate the primary process of the Freudian scheme**. In waking cognition, low-frequency limbic oscillations couple to (i.e. entrain) gamma in the cortex (Canolty *et al.*, 2006; Llinás and Steriade, 2006) enabling the activity of the cortex to explain and thereby contain the activity of the limbic regions (Engel *et al.*, 2001; Friston, 2003; Chen *et al.*, 2009). In non-ordinary states, this function may be perturbed (e.g. in the case of hallucinogenic drugs, through actions at modulatory post-synaptic receptors) (Aghajanian and Marek, 1997), compromising the hierarchical organization and suppressive capacity of the intrinsic networks.

To investigate these phenomena further, neuroimaging measures of functional and effective connectivity could be employed to assess whether e.g. phasic events in REM sleep or the hallucinogenic drug state correlate with an increased limbic input to higher-level association cortices. It might transpire that in these states, limbic discharges become capable of traversing systems, which they are unable to do under normal conditions. For example, it might be possible to observe limbic discharges influencing activity in visual association areas (see Barbeau *et al.*, 2005). One might expect limbic activity to be suppressed by higher-level regions of the DMN in normal waking cognition but not in non-ordinary states. This might explain the difference between the experience of day-dreaming in the resting-state (Mason *et al.*, 2007, Q284 and Q332) and hallucinosis in non-ordinary states (Q97), where limbic activity is released from top-down control. The mechanisms of this release have been discussed previously in terms of perceptual inference and synaptic gain (Friston, 2005b; Stephan *et al.*, 2009), where the major determinant of synaptic gain is neuronal synchronization.

**Table 2** Some points of contact between Freud's account of the mind and empirical findings in neurobiology

- The overlapping phenomenology of REM sleep, early and acute psychosis, the temporal lobe aura and the hallucinogenic drug state.
- All these states have been independently compared with each other previously and described independently as conducive to primary process thinking.
- The neurophysiology of these non-ordinary states is remarkably consistent both empirically and with Freud's descriptions of the 'free-flowing' energy of the primary process.
- LSD given immediately prior to or during sleep promotes REM sleep.
- The overlap between Freud's descriptions of the give-and-take relationship between ego-libido and object-libido and the give-and-take relationship between the DMN and its anti-correlated networks.
- The concordance between Freud's descriptions of the secondary process working to minimize free-energy and the free-energy account of the hierarchical organization of intrinsic networks working to minimize prediction errors.
- The integrated, compound nature of the DMN and Freud's descriptions of the integrated, compound nature of the ego.
- The development of functional connectivity between the nodes of the DMN during ontogeny, a process that parallels the emergence of ego-functions.
- Freud's account of the ego as a recipient and product of regular endogenous activity concerned with drive, memory and affect and the functional and structural connectivity of the DMN's cortical nodes with limbic structures concerned with drive, memory and affect.
- Freud's description of the ego as a tonic reservoir of activity and the high resting-state metabolism of the DMN.
- Freud's account of the ego as the seat of the sense-of-self and studies showing increased activity in the DMN during self-referential processing and a failure to deactivate the DMN in pathology characterized by withdrawal.

## Discussion

In this article we have explored the notion that Freud's descriptions of the secondary process are consistent with the functional anatomy of large-scale intrinsic networks. We have proposed that intrinsic networks self-organize into hierarchical frameworks, in order to suppress the free-energy of their subordinate levels. This was associated with the function of the secondary process. We hypothesized that spontaneous fluctuations in neuronal activity in cortical nodes of the DMN function to suppress or contain otherwise anarchic and unconstrained endogenous activity in limbic and paralimbic systems, while fluctuations in subordinate networks anti-correlated with the DMN predict and counter prediction errors induced by exogenous sensory input in sensory and visceral systems.

Given the nature of this synthesis, different readers will find merit in different aspects of it. For example, some readers may see value in relating inferential coding to intrinsic networks and regard this as a potentially useful perspective on functional anatomy. Others may take the formal similarity between Freudian formulations and functionalist interpretations of neuronal processes as evidence for their construct validity. For example, the remarkable overlap between Freud's theories and modern neurobiology may engage clinicians and academics who are more familiar with (and receptive to) Freud's work (Table 2). Developing these points of contact may help anchor Freudian concepts to measurable biological phenomena and inform psychoanalytic thinking. As has been argued previously (Kandel, 1999; Solms, 2009), this process may be important for psychoanalysis. Furthermore, given the enduring, albeit marginal, influence of psychoanalysis in psychiatry, it may benefit psychiatry if psychoanalysis is properly grounded in neuroscience. This is the agenda of the Neuro-Psychoanalysis movement ([www.neuro-psa.org.uk](http://www.neuro-psa.org.uk)) and should

assist the process of separating premises that have construct validity from those which do not.

Freud's writings contain many useful heuristics for exploring global brain function, especially in non-ordinary states of consciousness. Indeed, the Freudian model owes its origins to inferences based on unconstrained states, whereas the cognitive-behavioural approach is uncertain in this domain (Morcom and Fletcher, 2007). Science usually analyses phenomena extrospectively but in the mind-sciences especially, certain phenomena demand that we look both inwards and outwards - even if introspection entails some compromise and a confrontation with our 'it'. Freud's theories were conceived through a study of non-ordinary states, his schooling in neurology and a readiness to introspect. If they were built on false inference and loose philosophy, it is unlikely they would have endured in the way that they have. For those opposed to Freud, who would rather see his constructs dissolved into pure phenomenology and neurobiology, we put up little resistance (e.g. Q176). Phenomenology and neurobiology can stand alone. The Freudian model adds a framework for an integrated understanding of psychopathological phenomena. Once the full-character of non-ordinary states and cognition are understood, this framework may dissolve naturally.

The synthesis attempted in this article is intended to facilitate a more comprehensive understanding of psychological and neurobiological phenomena; addressing topics which have hitherto been considered incompatible with the cognitive paradigm (e.g. Morcom and Fletcher, 2007). The Freudian model should not impede hypothesis testing but rather facilitate it by emphasizing the importance of studying the phenomenology, neurophysiology and neurodynamics of different modes or states of cognition; and by indicating where we might look for anomalies. For example, altered functional connectivity between limbic and cortical nodes of the DMN may predict symptoms of ego-disturbance or

primary process thinking. Identifying the neurobiological signature of ego-disturbance or primary process thinking may provide new insights into the pathogenesis of schizophrenia, given that related symptoms are prevalent in the prodromal phase (Møller and Husby, 2000; Parnas and Handset, 2003; Häfner and Maurer, 2006). Another symptom cluster, which might benefit from a Freudian treatment, is the withdrawal seen in depression and schizophrenia. The association between ego–libido and object–libido and the give-and-take between the DMN and its anti-correlated networks may be especially relevant here:

All that we know about [libido] relates to the ego, in which at first the whole available quota of libido is stored up. We call this state absolute, primary narcissism. It lasts till the ego begins to invest the ideas of objects with libido, to transform narcissistic libido [ego–libido] into object–libido. Throughout the whole of life the ego remains the great reservoir from which libidinal investments are sent out to objects and into which they are also once more withdrawn. (Freud, 1940; Q454)

The notion of displacing energy from a default store to networks concerned with scrutinizing the external world is consistent with the functional relationship of the DMN to its anti-correlated networks, where e.g. activity is displaced from the DMN to the dorsal attention system during goal-directed cognition (Raichle *et al.*, 2001):

We see also, broadly speaking, an antithesis between ego–libido and object–libido. The more of one is employed, the more the other becomes depleted. (Freud, 1914; Q173)

It is interesting that Freud's notion of a finite 'reservoir' of energy and the reciprocal patterns of activation between the DMN and subordinate networks both fit comfortably with hierarchical minimization of free-energy. This minimization entails recurrent message-passing between hierarchical brain systems that try to suppress the free-energy at all levels (this scheme is also called predictive coding; e.g. Jehee and Ballard, 2009). The ensuing dynamics mean that increased neuronal activity at one level suppresses neural activity encoding prediction-error in another, leading to reciprocal patterns of activation and deactivation; see Murray *et al.* (2002) for a nice empirical example of this in the visual system and Friston and Stephan (2005) for a simulation in the auditory system. In brief, the 'reservoir' of free-energy is constantly primed by surprising or unaccountable exchanges with the sensorium and is distributed throughout the hierarchy in an attempt to minimize its expression at any one level.

Recent work has shown reduced task-evoked suppressions of DMN activity in schizophrenia (Pomarol-Clotet *et al.*, 2008; Whitfield-Gabrieli *et al.*, 2009) the severity of which correlated positively with connectivity in the DMN (Whitfield-Gabrieli *et al.*, 2009). These findings support the observation that there is a reduced engagement with the external world in schizophrenia (see Table 1, row 9 and especially Q168 and Q170). In this article we have proposed that the brain's functional anatomy is organized *hierarchically* to ensure that free-energy is minimized in the most efficient way. Organized in this manner, the brain explains internal

and external events and effectively discriminates between them. However, assuming that the development and maintenance of this organization is *use-dependent*, it will be jeopardized if the individual withdraws from the external world. If the brain's hierarchical organization begins to breakdown, there may be an ensuing confusion over, among other things, what are internal and external sensations. This may be especially relevant during puberty, when the ego is forced to negotiate new demands from internal and external sources and through this, develop an adult ego. According to our model, the development of an adult ego (a properly functional DMN) is necessary to contain internal excitations and coordinate engagements with the external world. If this is not achieved, systems normally inhibited by the DMN (e.g. the salience system) may slip from its control. In the ensuing chaos, the patient may develop delusions as a compromise strategy for containing the increase in free-energy. Thus, from the free-energy perspective, withdrawal, psychomotor poverty and delusional thinking may be last resorts for someone who finds everything surprising and unpredictable. See Fletcher and Frith (2009) and Corlett *et al.* (2009) for a free-energy (predictive coding) treatment of false inference in schizophrenia.

As in *schizophrenia*, Freud recognized that a retreat from the external world is also characteristic of depression. In depression however, emphasis was laid on a loss of an intense object-love. Freud argued that the patient reacts to this loss by targeting the aggression felt towards the lost object back upon his/her own ego:

There is no difficulty in reconstructing [the] process of *melancholia*. An object-choice, an attachment of the libido to a particular person, had at one time existed; then, owing to a real slight or disappointment coming from this loved person, the object-relationship was shattered... But the free libido was not displaced on to another object; it was withdrawn into the ego... Thus the shadow of the object fell upon the ego and the latter could henceforth be judged by a special agency, as though it were the forsaken object... One or two things may be directly inferred with regards to the preconditions and effects of a process such as this. On the one hand, a strong fixation to the loved object must have been present; on the other hand, in contradiction to this, the object-[investment] must have had little power of resistance... This contradiction seems to imply that the object-choice had been effected on a narcissistic basis, so that the object-[investment], when obstacles [came] in its way, [could] regress to narcissism. (Freud, 1917b, Q267)

As in schizophrenia, recent work has shown a reduced task-induced suppression of DMN activity in depression (Grimm *et al.*, 2009; Sheline *et al.*, 2009) and these reductions correlated positively with depression severity and ratings of hopelessness (Grimm *et al.*, 2009). Reduced blood flow and activation in the dorsolateral prefrontal cortex and hyper-perfusion, metabolism and activity in limbic and medial prefrontal regions are also reliably associated with depression (e.g. Mayberg *et al.*, 2005, 2007; Drevets *et al.*, 2008). These findings support the notion of a withdrawal from the external world and a pathological self-focus in depression, consistent with the Freudian account (Table 1, row 9).

## Conclusion

The first section of this article reviewed evidence that the development and functioning of the DMN and its functional relationship with its anti-correlated networks is consistent with that of the ego. In the second we described the phenomenology of primary process thinking, reviewed evidence that it can be observed in certain non-ordinary states and cited studies indicating that these states share a common neurophysiology. In the final section we sought to **justify the synthesis** and show how reference to the Freudian model might be used to understand clinically relevant phenomena in neurobiological terms.

This article does not address the efficacy of psychoanalysis as a treatment (see Fonagy, 2003 for a relevant review and Q478). Our focus is on the **validity of Freudian constructs** in relation to global phenomena and related theories that have recently emerged in systems neuroscience.

Finally, this synthesis was compelled by the links between psychopathology and the neurophysiology of certain non-ordinary states of consciousness, and between the functional organization of intrinsic brain networks and the secondary process as described by Freud. **The synthesis is empirically-led**, as are the methods we recommend for testing and applying it. The neurobiological phenomena addressed in this synthesis are central topics in contemporary neuroscience and the Freudian concepts are principal components of his model, where these components can be traced to his schooling in neurology and the influence of people like Meynert, Helmholtz, Fechner, Hering, Herbart, Charcot and Hughlings-Jackson.

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## Supplementary material

Supplementary material containing relevant quotations of Freud is available at *Brain* online.

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